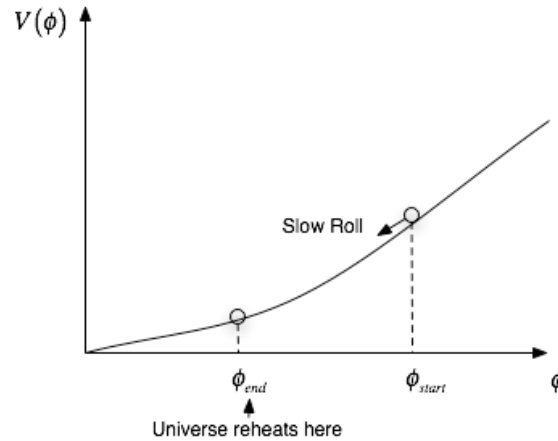


NB: de Sitter space is when the Hubble parameter  $H$  is constant.  
 (“Hubble parameter = constant universe”)

→ there are fluctuations in the scalar and tensor parts of the metric due to quantum mechanics. The scalar field fluctuates by  $\delta\phi = \frac{H}{2\pi}$ , and the metric / tensor part of gravity fluctuates by  $\delta h = \frac{H}{2\pi}$ .

Consider the inflationary potential.



No QM – the whole universe reheats at the same time.

With QM – different regions reheat at different times due to the uncertainty in  $\phi$ .

This means that they experience slightly more or slightly less inflation. Therefore density fluctuations are created. The density contrast

$$\frac{\delta\rho}{\rho} \propto \frac{\delta t}{t_{\text{exp}}},$$

where  $\delta t$  is the uncertainty in the time of reheating  $\delta t = \frac{\delta\phi}{\dot{\phi}}$ , and  $t_{\text{exp}}$  is the expansion timescale  $t_{\text{exp}} = H^{-1}$ . From these,

$$\frac{\delta\rho}{\rho} \propto \frac{H}{\dot{\phi}} \delta\phi \propto \frac{H^2}{\dot{\phi}}$$

since  $\delta\phi = \frac{H}{2\pi}$ .

Similarly, gravitational waves are imprinted with amplitude proportional to  $H$ .

Define the scalar power spectrum (density waves)

$$P_s(k) = \left( \frac{\delta\rho}{\rho} \right)^2 = \frac{H^4}{\dot{\phi}^2},$$

and the tensor power spectrum (gravitational waves)

$$P_T(k) = (\delta h)^2 = \left( \frac{H}{m_{pl}} \right)^2.$$

[NB: In general,  $P(k) \propto |\delta|^2$ ]

During slow roll,

$$\begin{aligned} 3H\dot{\phi} &= -\frac{dV}{d\phi} \\ H^2 &= \frac{8\pi G}{3} V \\ \rightarrow [P_s(k)]^{1/2} &\propto \frac{V^{3/2}}{m_{pl}^3 \frac{dV}{d\phi}} \\ [P_T(k)]^{1/2} &\propto \frac{V^{1/2}}{m_{pl}^2} \end{aligned}$$

Where these expressions are evaluated at  $\phi$  such that  $k = aH$ , that is, when the comoving wave number  $k$  crosses the horizon.

$$\rightarrow \frac{dk}{k} = \frac{d\dot{a}}{\dot{a}} \approx H dt = \frac{H d\phi}{\dot{\phi}} = -\frac{8\pi G V}{\frac{dV}{d\phi}} d\phi$$

where the approximation is for de Sitter space.

$$\begin{aligned} \rightarrow d(\log k) &= -8\pi G \frac{V}{V'} d\phi \\ \text{and } k &= k_{60} \exp \left[ -8\pi G \int_{t_{60}}^{\phi} \frac{V}{\frac{dV}{d\phi}} d\phi \right] \end{aligned}$$

NB:  $k_{60}$  is the comoving wave number which left the horizon 60 e-foldings from the end of inflation and returned at the present day.

$$k_{60} = H_0$$

and  $\phi_{60}$  can be computed from  $60 = 8\pi G \int_{\phi_{end}}^{\phi_{60}} \frac{V}{\frac{dV}{d\phi}} d\phi$ .

Now define the spectral indices  $n_s$  and  $n_T$  to be

$$\begin{aligned} n_s &= 1 + \frac{d(\log P_s(k))}{d(\log k)} \\ n_T &= \frac{d(\log P_T(k))}{d(\log k)} \end{aligned}$$

i.e.  $P_s(k) \propto k^{n_s-1}$ ,  $P_T(k) \propto k^{n_T}$ . These are typically evaluated at  $\phi_{60}$ .

Example:  $V = \frac{1}{2} m^2 \phi^2$ ;  $\frac{dV}{d\phi} = m^2 \phi$

$$\rightarrow [P_s(k)]^{1/2} \propto \frac{m}{m_{pl}} \left( \frac{\phi}{m_{pl}} \right)^2; [P_T(k)]^{1/2} \propto \frac{m}{m_{pl}} \left( \frac{\phi}{m_{pl}} \right)$$

$$d(\log k) = -4\pi G \phi d\phi$$

Working out  $\phi_{60}$ ;

$$60 = 2\pi G (\phi_{60}^2 - \phi_{end}^2)$$

$$\rightarrow \phi_{60} \approx \left( 10 - \frac{1}{6\pi} \right) m_{pl}$$

(approx  $2\pi \approx 6$ )

$$\phi_{60} \approx \sqrt{10} m_{pl}$$

Normalize to the observed fluctuations on the largest scales given by COBE, WMAP, etc.

$$\frac{\delta\rho}{\rho} \sim 10^{-5}$$

$$\rightarrow [P_s(k)]^{1/2} = \frac{10m}{m_{pl}} \sim 10^{-5}$$

$$\rightarrow m \approx 10^{13} \text{ GeV}$$

NB:  $> 60$  e-foldings required  $m \leq \left( \frac{\pi}{15} \right)^{1/2} m_{pl} \rightarrow$  the potential is very flat, and the

total number of e-foldings is around  $N_{tot} \approx 10^{13} \rightarrow \frac{a_{end}}{a_{start}} = e^{10^{13}}$ , which is very large.

So inflation happened for much longer than it needed to – the universe is ultra-flat.

Remember that this is just for this model, which's fairly naïve.

$$d(\log P_s) = \frac{4d\phi}{\phi}; d(\log P_T) = \frac{2d\phi}{\phi}$$

$$\rightarrow \left. \frac{d(\log P_s)}{d(\log k)} \right|_{k=k_{60}} \approx -0.03; \left. \frac{d(\log P_T)}{d(\log k)} \right|_{k=k_{60}} \approx -0.02$$

So  $n_s \approx 0.97$  and  $n_T \approx 0.02$ . WMAP measures  $n_s \approx 0.93 \pm 0.03$ .

### 3. Phase Transitions in the Early Universe

#### 3.1 Simple Field Theory Models

We have already discussed the case of a real scalar field  $\phi = \phi(x, t) \in \mathbb{R}$  as inflatons.

In Minkowski spacetime, the Lagrangian

$$L = \partial_\mu \phi \partial^\mu \phi - V(\phi)$$

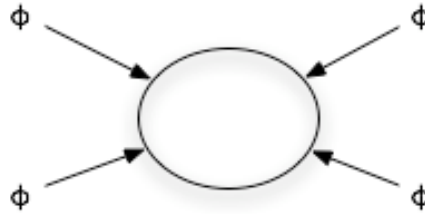
$$= \frac{1}{2} \dot{\phi}^2 - \frac{1}{2} |\nabla \phi|^2 - V(\phi)$$

$$\text{EOM} \rightarrow \square \phi + \frac{dV}{d\phi} = 0 \quad \text{where } \square = \frac{\partial^2}{\partial t^2} - \nabla^2$$

If  $V(\phi) = \frac{1}{2} m^2 \phi^2$  this leads to the Klein-Gordon equations for massive (i.e. with

mass) bosonic particles. Adding a 4-point interaction  $\left( V_{\text{int}}(\phi) = \frac{\lambda}{4} \phi^4 \right)$  leads to the simplest Quantum Field Theory (QFT).

$$\text{e.g. } V(\phi) = \frac{1}{2} m^2 \phi^2 + V_{\text{int}}(\phi) = \frac{1}{2} m^2 \phi^2 + \frac{\lambda}{4} \phi^4.$$



The interaction term corresponds to scattering!

We will discuss mainly classical behaviour of these fields with a little bit of QM added in.

First note that  $V(\phi) = V(-\phi)$ , and therefore the Lagrangian is  $Z_2$  symmetric, that is, it is symmetric under the action of the group  $Z_2 = \{+1, -1\}$ . This is a global symmetry since the element of  $Z_2$  is independent of position.

We would like to discuss more complicated fields (complex scalars, vectors) and more complicated symmetry groups ( $U(1)$ ,  $SU(2)$ ,  $SO(N)$ ).

#### (1) Complex Scalars

$$\Phi = \frac{1}{\sqrt{2}} (\phi_1 + i\phi_2) \in \mathbb{C}$$

where  $\bar{\Phi}$  is its complex conjugate.

$$L = \partial_\mu \Phi \partial^\mu \bar{\Phi} - V(\Phi)$$

$$\text{EOM: } \square \Phi + \frac{dV}{d\Phi} = 0$$

The kinetic term (the first part of the Lagrangian) is clearly symmetric under  $\Phi \rightarrow \Phi' = e^{i\alpha}\Phi$  where  $\alpha$  is a constant phase. If  $V(\Phi) = V(e^{i\alpha}\Phi) \forall \alpha$ , then the model is  $U(1)$  symmetric.

## (2) Complex Vectors

$$\Phi = \begin{pmatrix} \phi_1 + i\phi_2 \\ \phi_3 + i\phi_4 \end{pmatrix} \in \mathbb{C}^2$$

$$L = \partial_\mu \Phi^\dagger \partial^\mu \Phi - V(\Phi)$$

where  $\Phi^\dagger = \overline{\Phi}^T$ . If  $U \in SU(2)$ , then the kinetic term is symmetric under  $\Phi \rightarrow \Phi' = U\Phi$ .

$$\partial_\mu \Phi'^\dagger \partial^\mu \Phi' = \partial_\mu [(U\Phi)^\dagger] \partial^\mu (U\Phi) = \partial_\mu \Phi^\dagger U^\dagger U \partial^\mu \Phi = \partial_\mu \Phi^\dagger \partial^\mu \Phi$$

as  $U^\dagger U = I$ .

We require that  $V(U\Phi) = V(\Phi) \forall U \in SU(2)$ , e.g.  $V(\Phi) = \frac{1}{2} m^2 \Phi^\dagger \Phi + \frac{1}{4} \lambda (\Phi^\dagger \Phi)^2$ .

## (3) $SO(N)$

$$\underline{\Phi} = (\phi_1, \phi_2, \dots, \phi_N)$$

is a vector field.

$$L = \frac{1}{2} \partial_\mu \underline{\Phi} \cdot \partial^\mu \underline{\Phi} - V(\underline{\Phi})$$

where  $\partial_\mu \underline{\Phi} \cdot \partial^\mu \underline{\Phi} = \partial_\mu \phi_i \partial^\mu \phi_i = \dot{\underline{\Phi}}^2 - |\nabla \underline{\Phi}|^2$ , i.e. summations over both the field index  $i$  and the Lorentz index  $\mu$ .

This is  $SO(N)$  symmetric if  $V(g\underline{\Phi}) = V(\underline{\Phi}) \forall g \in SO(N)$ .

NB:  $N = 2$

$$L = \frac{1}{2} \partial_\mu \underline{\Phi} \cdot \partial^\mu \underline{\Phi} - V(\underline{\Phi})$$

$$= \frac{1}{2} \partial_\mu \phi_1 \partial^\mu \phi_1 + \frac{1}{2} \partial_\mu \phi_2 \partial^\mu \phi_2 - V(\phi_1, \phi_2)$$

Consider a scalar field  $\Phi = \frac{1}{\sqrt{2}}(\phi_1 + i\phi_2)$ :

$$L = \partial_\mu \overline{\Phi} \partial^\mu \Phi - V(\Phi)$$

$$= \frac{1}{2} \partial_\mu \phi_1 \partial^\mu \phi_1 + \frac{1}{2} \partial_\mu \phi_2 \partial^\mu \phi_2 - V(\phi_1, \phi_2)$$

$\rightarrow SO(2)$  is equivalent to  $U(1)$ .

(4) All symmetries we have discussed so far are global symmetries, that is the elements of the symmetry groups are constant in space and time. We will consider a simple example of a gauge (or local) symmetry which corresponds to a complex scalar coupled to electromagnetism.

This is known as the Abelian Higgs Model.

$$L = D_\mu \Phi D^\mu \bar{\Phi} - V(|\Phi|) - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

where  $D_\mu = \partial_\mu - ieA_\mu \equiv$  covariant derivative, and  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$  is the field strength tensor (of EM).  $A_\mu \equiv$  Gauge field  $\equiv (\phi, \underline{A})$ .

This model is  $U(1)$  symmetric under the following operations:

1.  $\Phi \rightarrow \Phi' = e^{i\alpha} \Phi$
2.  $A_\mu \rightarrow A_\mu' = A_\mu + \frac{1}{e} \partial_\mu \alpha$  where  $\alpha = \alpha(\underline{x}, t)$ .

$$\begin{aligned} D_\mu' \Phi' &= (\partial_\mu - ieA_\mu') \Phi' \\ &= \partial_\mu (e^{i\alpha} \Phi) - ie \left( A_\mu + \frac{1}{e} \partial_\mu \alpha \right) e^{i\alpha} \Phi \\ &= e^{i\alpha} D_\mu \Phi \end{aligned}$$

$$\begin{aligned} F_{\mu\nu}' &= \partial_\mu A_\nu' - \partial_\nu A_\mu' \\ &= \partial_\mu \left( A_\nu + \frac{1}{e} \partial_\nu \alpha \right) - \partial_\nu \left( A_\mu + \frac{1}{e} \partial_\mu \alpha \right) \\ &= F_{\mu\nu} \end{aligned}$$

→ Lagrangian is  $U(1)$  symmetric.

### 3.2 Spontaneous Symmetry Breaking

QFT usually selects the lowest energy state to be the vacuum. For the Klein-Gordon potential  $\left( V(\phi) = \frac{1}{2} m^2 \phi^2 \right)$ ,  $\phi = 0$  is the unique lowest energy state. But what happens if there are two or more with the same value?

