11. Time Independent Perturbation Theory

Objective: determine the eigenfunctions and eigenvalues of a Hamiltonian $\hat{H} = \hat{H}^0 + \lambda \hat{H}'$

where \hat{H}^0 is a known solution for a similar problem, and \hat{H} is the perturbation from this. The matrix elements of \hat{H}^0 and \hat{H} are of the same size.

 λ is a small number, so if \hat{H}^0 and \hat{H}' are the same size, the latter will give a small contribution to the result. λ is real, $|\lambda| \ll 1$.

We assume that we know the eigenfunctions and eigenvalues of \hat{H}^0 ,

$$\hat{H}^{0}\varphi_{n}^{0}=E_{n}^{0}\varphi_{n}$$

with φ_n^{0} orthonormal and E_n^{0} non-degenerate. We would like to solve

$$\hat{H}\varphi_n = E_n\varphi_n \ (11-1)$$

As λ is a small number, we can expand around it.

$$\varphi_n = \varphi_n^0 + \lambda \varphi_n' + 0(\lambda^2)$$
$$E_n = E_n^0 + \lambda E_n' + 0(\lambda^2)$$

We chose the phase of φ_n such that

$$\left\langle \varphi_{n}, \varphi_{n}^{0} \right\rangle = 0 \quad (11-2)$$
$$\left(\hat{H}^{0} + \lambda \hat{H}^{\prime}\right) \left(\varphi_{n}^{0} + \lambda \varphi_{n}^{\prime}\right) = \left(E_{n}^{0} + \lambda E_{n}^{\prime}\right) \left(\varphi_{n}^{0} + \lambda \varphi_{n}^{\prime}\right)$$

At order λ^0 :

$$\hat{H}' \varphi_n^{\ 0} + \hat{H}^0 \varphi_n' = E_n^{\ 0} \varphi_n' + E_n' \varphi_n^{\ 0}$$
(11-3)

We take the scalar product with φ_n^0 :

$$\left\langle \varphi_{n}^{0}, \hat{H}' \varphi_{n}^{0} \right\rangle + \left\langle \varphi_{n}^{0}, \hat{H}^{0} \varphi_{n}' \right\rangle = E_{n}^{0} \left\langle \varphi_{n}^{0}, \varphi_{n}' \right\rangle + E_{n}' \left\langle \varphi_{n}^{0}, \varphi_{n}^{0} \right\rangle$$

$$\left\langle \varphi_{n}^{0}, \hat{H}^{0} \varphi_{n}' \right\rangle = \left\langle \underbrace{\hat{H}^{0} \varphi_{n}^{0}}_{E_{n}^{0} \varphi_{n}^{0}}, \varphi_{n}' \right\rangle = E_{n}^{0} \left\langle \varphi_{n}^{0}, \varphi_{n}' \right\rangle$$

$$E_{n}' = \left\langle \varphi_{n}^{0}, \hat{H}' \varphi_{n}^{0} \right\rangle$$

$$(11-4)$$

So we can say that the total energy is

$$E_n = E_n^{0} + \lambda E_n'$$
$$= E_n^{0} + \lambda \left\langle \varphi_n^{0}, \hat{H}', \varphi_n^{0} \right\rangle$$

Going back to (11-3), and taking the scalar product with φ_i^0 $(i \neq n)$:

$$\left\langle \varphi_{i}^{0}, \hat{H}', \varphi_{n}^{0} \right\rangle + \left\langle \varphi_{i}^{0}, \hat{H}^{0}, \varphi_{n}^{-} \right\rangle = E_{n}^{0} \left\langle \varphi_{i}^{0}, \varphi_{n}^{-} \right\rangle + E_{n}' \left\langle \varphi_{i}^{0}, \varphi_{n}^{0} \right\rangle$$
(11-6)
We know that $\left\langle \varphi_{i}^{0}, \varphi_{n}^{0} \right\rangle = 0$, and $\left\langle \varphi_{i}^{0}, \hat{H}^{0}, \varphi_{n}^{-} \right\rangle = \left\langle \hat{H}^{0} \varphi_{i}^{0}, \varphi_{n}^{-} \right\rangle = E_{i}^{0} \left\langle \varphi_{i}^{0}, \varphi_{n}^{-} \right\rangle$. So, (11-6) can be written as:

$$\left\langle \varphi_{i}^{0}, \hat{H}', \varphi_{n}^{0} \right\rangle = E_{n}^{0} \left\langle \varphi_{i}^{0}, \varphi_{n}' \right\rangle - E_{i} \left\langle \varphi_{i}^{0}, \varphi_{n}' \right\rangle$$

$$\left\langle \varphi_{i}^{0}, \varphi_{n}^{'} \right\rangle = \frac{\left\langle \varphi_{i}^{0}, \hat{H}', \varphi_{n}^{0} \right\rangle}{E_{n}^{0} - E_{i}^{0}}$$
(11-7)

 φ_n' is an orthonormal basis of the state space. We can write any eigenfunction as a linear combination:

$$\varphi_{n'} = \sum_{p} c_{p} \varphi_{p}^{0},$$

where

$$c_p = \left\langle \varphi_p^{0}, \varphi_n' \right\rangle.$$

We know that $\langle \varphi_n^0, \varphi_n' \rangle = 0$, hence $c_n = 0$. So we can write

$$\varphi_{n'} = \sum_{p \neq n} c_p \varphi_p^0$$

Using the equation for $\langle \varphi_i^0, \varphi_n' \rangle$ above, we can write:

$$\varphi_{n'} = \sum_{p \neq n} \frac{\left\langle \varphi_{p}^{0}, \hat{H}', \varphi_{n}^{0} \right\rangle}{E_{n}^{0} - E_{p}^{0}} \varphi_{p}^{0}$$

So we can write

$$\varphi_n = \varphi_n^0 + \lambda \varphi_n'$$

Example: find the eigenfunctions and eigenvalues to the first order α of the Hamiltonian corresponding to the potential

α

 \hat{H}^0 = infinite square well. $|\alpha| \ll 1$

$$\hat{H} = \hat{H}^0 + \alpha \hat{H}'$$
$$\hat{H}' = \begin{cases} 1 & |x| \le b \\ 0 & |x| > b \end{cases}$$

-a-b0ba

We know that the energy for an infinite square well is:

$$\varphi_n^{0} = \begin{cases} \frac{n^2 \pi^2 \hbar^2}{8a^2 m} \\ \frac{1}{\sqrt{a}} \cos \frac{n\pi x}{2a} & n \text{ odd} \\ \frac{1}{\sqrt{a}} \sin \frac{n\pi x}{2a} & n \text{ even} \end{cases}$$

We said before that

$$E_n' = \left\langle \varphi_n^{0}, \hat{H}' \varphi_n^{0} \right\rangle$$

Let's look at the correction to the energy level, with n = 1, and using $\varphi_1^{\ 0} = \frac{1}{\sqrt{a}} \cos \frac{nx}{2a}, \text{ we can write:}$ $E_1' = \left\langle \varphi_1^{\ 0}, \hat{H}' \varphi_1^{\ 0} \right\rangle = \frac{1}{a} \int_{-b}^{b} \cos \frac{nx}{2a} 1 \frac{\cos nx}{2a} dx = \frac{b}{a} + \frac{1}{\pi} \sin \frac{\pi b}{a}$

$$E_1' = \langle \varphi_1^0, H' \varphi_1^0 \rangle = -\frac{1}{a} \int_{-b}^{-b} \cos \frac{1}{2a} \frac{1}{2a} dx = -\frac{1}{a} -\frac{1}{\pi} \sin \frac{1}{a}$$

So the first energy level is:

$$E_1 = \frac{\pi^2 \hbar^2}{8a^2 m} + \alpha \left(\frac{b}{a} + \frac{1}{\pi} \sin\left(\frac{\pi b}{a}\right)\right)$$

Now look at the second energy level.

$$E_{2}' = \left\langle \varphi_{2}^{0}, \hat{H}' \varphi_{2}^{0} \right\rangle = \frac{1}{a} \int_{-b}^{b} \sin \frac{\pi x}{a} \sin \frac{\pi x}{a} dx = \frac{b}{a} - \frac{1}{2\pi} \sin \frac{2\pi b}{a}$$

So the second energy level is:

$$E_2 = \frac{4\pi^2\hbar^2}{8a^2m} + \alpha \left[\frac{b}{a} - \frac{1}{2\pi}\sin\frac{2\pi b}{a}\right]$$

Now look at $\langle \varphi_p^0, \hat{H}' \varphi_n^0 \rangle$. $p \neq n$.

$$\left\langle \varphi_{p}^{0}, \hat{H}' \varphi_{n}^{0} \right\rangle = \int_{-b}^{b} \varphi_{p}^{0} \varphi_{n}^{0} dx$$

If *n* is odd, then φ_n will be even. If *p* is even, then φ_p in odd.

The scalar product will be 0 if p and n are of different parity (i.e. one odd, one even). It will be none-zero if p and n are either both even, or both odd.

So, if n is odd, p will have to be odd, and φ_n' (from (11-7)) is a linear combination of even functions, so it is even. The same applies for odd functions.