

There is propagation if  $(E - V)^2 > m^2$ .

There are two cases:

Weak potential,  $V < E$ :

Propagation if  $E - V > m$ , i.e.  $E - m > V$ , i.e. if KE is above the barrier, as expected.

Strong potential,  $V > E$ :

Propagation if  $E - V > m$ , but can also get propagation if  $(E - V) < -m$ , i.e.

something gets through even at low energy if the barrier is high enough.

What is going on?

Consider the waves on the right,  $z > 0$ :

$$p'^2 = (V - E)^2 - m^2$$

By  $\frac{d}{dp'}$ ,

$$2p' = 2(V - E) \left( -\frac{dE}{dp'} \right)$$

So the group velocity

$$v_g = \frac{d\omega}{dk} = \frac{dE}{dp'} = -\frac{p'}{V - E},$$

This is greater than 0, so the waves are moving to the right.

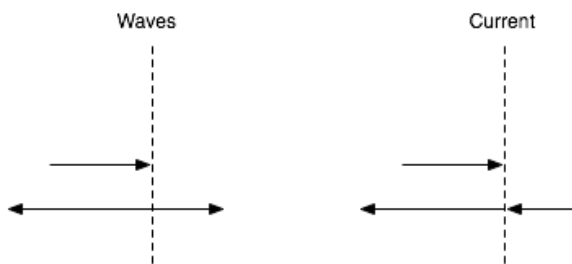
Consider the currents. We now have,

$$p' = \sqrt{(V - E)^2 - m^2}.$$

Substituting this into the previous expressions for the currents, we find that for  $z < 0$  the reflected current is bigger than the incoming current,  $|j_R| > |j_I|$ , and for  $z > 0$  the transmitted current  $j_T < 0$ , i.e. the current is negative, and flows to the left.

### Summary

For  $V > E$ , and  $V - E > m$ , the waves



This suggests an interpretation in terms of anti-particles:

- Particle/antiparticle pairs are created at the barrier if  $V$  is big enough.
- Particles go to left with reflected waves
- Antiparticles (with opposite charge) go to the right.
- Interpret “conserved charge” as electric charge.

This suggests a similar effect in atoms if  $Z$  is large enough. For a full description, we have to abandon single particle theory. Leave this for the moment, and go back to the electron.

### 3. Dirac Equation

Returning to the start, and trying a different approach.

$$H\psi = i \frac{d\psi}{dt} \quad (1)$$

where

$$H = \sqrt{-\nabla^2 + m^2} \quad (2)$$

For the KG equation, we avoided interpreting the square root by using

$$HH\psi = (-\nabla^2 + m^2)\psi = -\frac{\partial^2\psi}{\partial t^2}.$$

This was second order in  $\partial / \partial t$ , which goes to negative conserved densities. Dirac looked for a 1<sup>st</sup> order equation of form (1) to describe the electron, with

$$H = -i\underline{\alpha} \cdot \underline{\nabla} + \beta m.$$

i.e.

$$(-i\underline{\alpha} \cdot \underline{\nabla} + \beta m)\psi = i \frac{\partial\psi}{\partial t} \quad (3)$$

This is the Dirac Equation. The coefficients  $\underline{\alpha} = (\alpha_1, \alpha_2, \alpha_3)$  and  $\beta$  are determined by the requirement that

1.  $H$  is hermitian  $\rightarrow$  real  $E$  values  
 $\rightarrow \alpha, \beta$  are hermitian.
2. The equation

$$HH\psi = \left( -i \sum_i \alpha_i \frac{\partial}{\partial x_i} + \beta m \right) \left( -i \sum_j \alpha_j \frac{\partial}{\partial x_j} + \beta m \right) \psi = -\frac{\partial^2\psi}{\partial t^2}$$

is the same as the Klein-Gordon Equation, which will guarantee  $E^2 = p^2 + m^2$ .

Condition 2 is satisfied provided

$$\begin{aligned} \{\alpha_i, \alpha_j\} &\equiv \alpha_i \alpha_j + \alpha_j \alpha_i = 2\delta_{ij} \\ \{\beta, \alpha_i\} &= \beta \alpha_i + \alpha_i \beta = 0 \\ \beta^2 &= 1 \end{aligned}$$

(Collectively equation (4))

[Note:  $\{ \}$  represents the anti-commutator, which uses + rather than -]

$\beta, \alpha$  can't be numbers – but they can be matrices.

In order to satisfy this, we will need 4 matrices, and we need matrices of order at least

4. For example, (4) are satisfied by:

$$\beta = \begin{pmatrix} I & 0 \\ 0 & I \end{pmatrix}, \alpha_i = \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix} \quad (8)$$

where  $I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  and the Pauli matrices

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (7)$$

satisfying  $\{\sigma_i, \sigma_j\} = 2\delta_{ij}$ .

This solution is called the Dirac representation. There are other choices of 4x4 matrices possible, but they all give the same physics. You don't need a representation really – can instead work from the commutation equations, but this way is convenient.

So the Dirac equation

$$i \frac{\partial \psi}{\partial t} = -i \underline{\alpha} \cdot \underline{\nabla} \psi + \beta m \psi \quad (9)$$

is a matrix equation, as well as a differential equation, with a 4-component wavefunction

$$\psi(x) = \begin{pmatrix} \psi_1(x) \\ \psi_2(x) \\ \psi_3(x) \\ \psi_4(x) \end{pmatrix},$$

which is called a Dirac Spinor.

Since  $\underline{\alpha}, \beta$  are hermitian, the adjoint equation (take the complex conjugate) is

$$-i \frac{\partial \psi^*}{\partial t} = +i \underline{\nabla} \cdot (\psi^* \underline{\alpha}) + m \psi^* \beta, \quad (10)$$

where

$$\psi^\dagger(x) = (\psi_1^*(x), \psi_2^*(x), \psi_3^*(x), \psi_4^*(x)).$$

### 3.1 Conserved Current

Usual argument:  $\psi^\dagger \times (9)$

$$i \psi^\dagger \frac{\partial \psi}{\partial t} - i \psi^\dagger \underline{\alpha} \cdot \underline{\nabla} \psi + \beta m \psi^\dagger \psi \quad (a)$$

(10)  $\times \psi$

$$-i \frac{\partial \psi^\dagger}{\partial t} \psi = i \underline{\nabla} \psi^\dagger \cdot \underline{\alpha} \psi + \beta m \psi^\dagger \psi \quad (b)$$

a – b:

$$\frac{\partial \rho}{\partial t} + \underline{\nabla} \cdot \underline{j} = 0$$

where  $\rho = \psi^\dagger \psi$ ,  $\underline{j} = \psi^\dagger \underline{\alpha} \psi$

In particular,

$$\begin{aligned} \rho &= \psi^\dagger \psi \\ &= \psi_1^* \psi_1 + \psi_2^* \psi_2 + \psi_3^* \psi_3 + \psi_4^* \psi_4 > 0 \end{aligned}$$

So this can be treated as a probability density in the usual way. We need to understand the different components.